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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(\$1) International Patent Classification 6:

H01J 35/14

(11) International Publication Number:

WO 98/13853

(43) International Publication Date:

2 April 1998 (02.04.98)

(21) International Application Number:

PCT/GB97/02580

A1

(22) International Filing Date:

23 September 1997 (23.09.97)

(30) Priority Data:

9620160.3

27 September 1996 (27.09.96) GB

GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN,

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR,

BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE,

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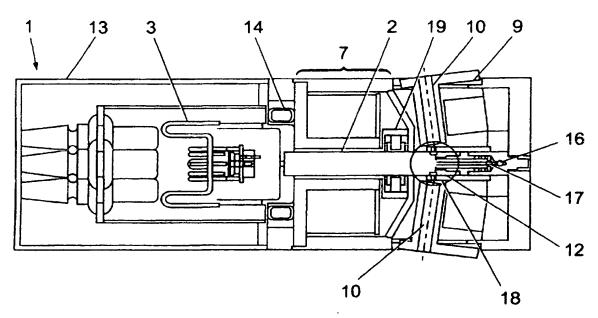
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#### Published

With international search report.

ML, MR, NE, SN, TD, TG).

(54) Title: X-RAY GENERATOR



#### (57) Abstract

An X-ray generator comprises an evacuated and sealed X-ray tube, an electron gun, an X-ray target, an internal electron mask, and an X-ray window consisting of a thin tube of material with low X-ray absorption and high mechanical strength, for example beryllium. The window connects the tube to the target assembly containing the X-ray target. The generator preferably also includes a system for focusing and steering the electron beam onto the target, a cooling system to cool the target material, kinematic mounts to allow precise and repeatable mounting of X-ray devices for focusing the X-ray beam, and X-ray focusing devices of varying configurations and methods. The X-ray generator of the invention produces an X-ray source having a focal spot or line of very small dimensions and is capable of producing a high intensity X-ray beam at a relatively small point of application using a low operating power.

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X-Ray Generator

2	
3	This invention relates to an X-ray generator and in
4	particular to an X-ray generator suitable to be closely
5	coupled to a focusing X-ray device.
6	
7	X-ray generators comprise an electron gun, an X-ray
8	target and an X-ray exit window, generally in a sealed
9	vacuum. Prior art generators produce X-ray beams
10	having a relatively large focal spot or line. Many
11	applications require a precisely collimated X-ray beam
12	To achieve this relatively small apertures are coupled
13	with the generator to restrict beam diameter and
14	divergence, but this results in a large loss of X-ray
15	intensity.
16	
17	For many applications the most effective way of using
18	the X-rays emitted from the target of an X-ray tube is
19	to form an image of the source, i.e. of the electron
20	focus on the target, on the specimen. For
21	crystallographic applications, it is normally essential
22	that the convergence or divergence of the rays incident
23	on the sample be very small. To maximise the X-ray
24	intensity at the sample the angle of collection at the
25	source should be as large as possible. The combination

1	of these two requirements implies that the imaging
2	optics should magnify. The sample size determines the
3	maximum useful image size (see Fig. 3). Fig. 3 shows
4	that the ratio of the collecting angle $\alpha$ at the source $% \left( 1\right) =\left( 1\right) ^{2}$
5	S to the beam convergence angle $eta$ at the image I is
6	equal to the magnification of the focusing collimator
7	or focusing mirror F. In single-crystal
8	diffractometry, for example, the specimen crystal is
9	frequently about 300 $\mu m$ in diameter. The X-ray source
10	should, therefore, be much smaller than 300 $\mu \mathrm{m}$ .
11	
12	Maximum power loading of the target, without damage to
13	its surface is greatest when the source is a line focus
14	at a small take-off angle to give a foreshortening of
15	about 10 times.
16	
17	It is an object of the present invention to provide an
18	X-ray generator which produces an X-ray source having a
19	focal spot or line of very small dimensions. It is a
20	further object of the present invention to provide an
21	X-ray generator capable of producing a high intensity
22	X-ray beam at a relatively small point of application
23	using a low operating power.
24	
25	According to a first aspect of the invention there is
26	provided an X-ray generator comprising an electron gun,
27	electron focusing means and a target, the electron
28	focusing means being arranged such that the X-ray
29	source on said target may be varied in size and/or
30	shape and/or position.
31	
32	Preferably the X-ray source on said target may be
33	varied from a small diameter spot to a line of small
34	width.
35	

Preferably the generator further comprises an X-ray

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exit window comprising a tube of material with low X-ray absorption and of a small diameter to allow close coupling of X-ray focusing devices.

4

5 Preferably the electron focusing means comprises an 6 electron beam focusing means mounted around the X-ray 7 The electron beam focusing means may comprise an 8 x-y deflection system for centring the electron beam in 9 the X-ray tube. The electron beam focusing means may further comprise at least one electron lens, preferably 10 11 an axially symmetric or round lens, and at least one 12 quadrupole or multipole lens for focusing the electron 13 beam to a line focus. The line focus preferably has an 14 aspect ratio in the range 1:1 to 1:20.

15

The electron beam lenses may be magnetic or electrostatic and are preferably electronically controlled.

19 20

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Preferably the material of the exit window has a high mechanical strength and is preferably beryllium. The exit window may form part of the mechanical structure of the X-ray tube and preferably connects the X-ray tube and the target.

2425

26 Preferably the target is metal, most preferably a metal 27 selected from the group Cu, Ag, Mo, Rh, Al, Ti, Cr, Co, 28 Fe, W, Au. In a preferred embodiment the target is 29 copper. The target surface may be orientated such that 30 the plane of the target surface is perpendicular or at 31 an angle to the axis of the X-ray tube.

32

33 The target may comprise a thin metal layer deposited on 34 a thicker substrate of a material with high thermal 35 conductivity. Preferably the substrate material is 36 diamond.

1 Preferably the generator further comprises a target 2 According to a first embodiment the cooling means. 3 cooling means may comprise means for directing a jet of fluid onto the target, on the opposite side of the 4 target to the side on which the electron beam impinges. 5 6 The fluid is preferably air or water. According to a second embodiment the cooling means may comprise means 7 8 for effecting heat transfer by conduction or convection 9 from the target. 10 11 Preferably the generator further comprises a deflection 12 means which spatially scans the position of the 13 electron beam over the face of the target. 14 15 Preferably the generator further comprises an electron 16 mask having an aperture adapted to align the focal spot 17 of the electron beam. 18 19 According to a second aspect of the invention there is 20 provided an X-ray generator comprising an electron gun, 21 an X-ray tube, a target and an X-ray exit window 22 comprising a tube of material with low X-ray absorption 23 and of small diameter to allow close coupling of X-ray 24 focusing devices. 25 26 According to a third aspect of the invention the 27 generator according to the first or second aspects is 28 coupled with an X-ray focusing means. 29 focusing means preferably comprises a mirror. 31 The X-ray source according to the invention is designed 32 specifically to be closely coupled to focusing X-ray

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devices. It is able to produce a focal spot or line of very small dimensions, and thus maximise the benefit of the focusing methods.

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. 1 The distance from the electron focus to the exit window 2 exterior is very small, and can be as low as 7 mm or 3 less for a reflection target, or less than 1 mm for a 4 foil transmission target. 5 6 The X-ray generator according to the invention is 7 compact and provides a sealed tube. 8 9 The X-ray generator according to the invention needs 10 only low power because of the efficiency of the 11 collection and subsequent delivery of X-rays to the 12 sample. 13 14 The generator achieves a high brilliance, defined as X-15 ray power per unit area per steradian. 16 17 An embodiment of the invention will now be described, 18 by way of example only, with reference to the 19 accompanying figures, where: 20 21 Fig. 1 shows a longitudinal section through an X-ray 22 generator according to the invention; 23 24 Fig. 2 shows a detail to an enlarged scale of part of 25 the X-ray generator shown in Fig. 1; 26 27 Fig. 3 shows the relationship between the size of an X-28 ray source and the image at a sample; and 29 30 Fig. 4 shows the variation in X-ray intensity as an 31 electron beam is scanned across an aperture in front of 32 a target. 33 34 With reference to Figs. 1 and 2, the X-ray generator 1 35 comprises an evacuated and sealed X-ray tube 2, 36 containing the following elements:

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1	- Electron gun 3
2	- X-ray target 4
3	<ul> <li>Internal electron mask 5</li> </ul>
4	<ul> <li>X-ray window 6 consisting of a thin tube of</li> </ul>
5	material with low X-ray absorption and high
6	mechanical strength, for example beryllium.
7	This window also connects the tube 2 to the
8	target assembly 12 containing the target 4.
9	
10	The tube 2 is contained within a housing 13. The
11	generator 1 also includes a system 7 for focusing and
12	steering the electron beam onto the target, a cooling
13	system 8 to cool the target material, kinematic mounts
14	9 to allow precise and repeatable mounting of X-ray
15	devices for focusing the X-ray beam, and X-ray focusing
16	devices 10 of varying configurations and methods. X-
17	ray mirrors 10 are supplied in pre-aligned units so
18	that re-alignment is not necessary after exchange.
19	
20	The X-ray tube 2 produces a well focused beam of
21	electrons impinging on a target material 4. The
22	electron beam may be focused into a spot or a line, and
23	the dimensions of the spot and line as well as its
24	position may be changed electronically. A spot focus
25	having a diameter falling in the range 1 to 100 $\mu m$ ,
26	generally 5 $\mu m$ or larger, may be achieved.
27	Alternatively a line focus may be achieved whose width
28	falls in a similar range, having a length to width
29	ratio of up to 20:1.
30	
31	An electron beam mask of 5 of metal (eg tungsten) in
32	the form of an internal electron beam aperture 11, with
33	suitable dimensions, for example a rectangular slot for
34	the line focus, may be used with suitable feedback and
35	control mechanisms to automatically align the focal
36	spot and to maintain its position on the target, for

7

example by scanning the electron beam over the aperture
11 and measuring the emerging X-ray intensity.

The electron beam is produced by an electron gun 3.

The electron beam is produced by an electron gun 3, consisting of a Wehnelt electrode and cathode. The cathode may be either:

- a filament of tungsten or alloy, for example tungsten-rhenium, having either a hairpin or a staple shape; or
- an indirectly heated activated dispenser cathode,
  which may be flat or of other geometry, for
  example a rod with a domed end.

13 The dispenser cathode has the advantage of extended 14 lifetime and increased mechanical strength. With a 15 flat surface the dispenser cathode has the further 16 advantage of requiring only an approximate degree of 17 alignment in the Wehnelt electrode.

18 19

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Primary focus is achieved by an anode at a suitable distance from the electron gun.

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A thin tube of material with low X-ray absorption but high mechanical strength and stability, such as beryllium, is used to form the exit window 6 for the emerging X-rays. The tube must exhibit good vacuum seal characteristics. This tube also forms the mechanical connection between the X-ray tube 2 and the target assembly 12. Such an arrangement saves space and complexity in the formation of X-ray windows.

2930

31 The electron beam from the gun is centred in the X-ray 32 tube 2 by a centring coil 14 or set of quadrupole 33 lenses. Alternatively it may be centred by multipole 34 lenses. The electron beam is focused to a spot of 35 varying diameter. Focusing down to a diameter of less 36 than 5  $\mu$ m or better may be achieved by an axial lens 7 WO 98/13853

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consisting of either quadrupole, multipole or solenoid 1 2 type. 3 4 The spot focus may be changed to a line focus with a further set of quadrupole or multipole lenses. 6 with an aspect ratio of greater than 10:1 are possible. 7 A line focus spreads the load on the target. viewed at a suitable angle, the line appears as a spot. 8 9 10 Lenses are preferably magnetic, but may be 11 electrostatic. All the lenses are electronically 12 controlled, enabling automatic and continuous alignment 13 and scanning of the focal spot. Change from spot to 14 line is also automatic, as is the change of beam 15 diameter. 16 17 The target 4 is a metal, for example Cu, but it can be another material depending on the wavelength of the 18 characteristic radiation required, for example Aq, Mo, 19 The target 4 is 20 Al, Ti, Rh, Cr, Co, Fe, W or Au. 21 either perpendicular to the impinging electron beam, or 22 may be inclined to decrease the absorption of the 23 emitted X-rays. 24 25 The target is cooled either by: 26 a jet of cooling fluid (water, air or another 27 fluid) directed onto the rear surface of the 28 target area by cooling nozzle 15; or 29 conducted or convected heat transfer from the rear 30 of the target 4. 31 32 The cooling fluid is circulated through an inlet 16 and outlet 17. 33 34 35 An increase in cooling efficiency (and hence an 36 increase in the permissible target loading) may be

. 1	achieved by the use of a thin metal film of target
2	material deposited on a thicker substrate made from a
3	material with a high thermal conductivity (eg diamond).
4	The target could comprise a thin solid of a single
5	material or it could be laminated with a different
6	material of high thermal conductivity. These targets
7	may be used with different cooling geometries, for
8	example those employing high or low water pressure or
9	forced or natural convection.
10	
11	Both foil transmission and reflection targets may be
12	used as a target 4.
13	
14	Integrated mechanical shutters 18 are positioned
15	between the window 6 and the X-ray focusing elements
16	10, to block the emerging X-ray beam.
17	
18	The placement of the shutter 18 before the focusing
19	elements 10 protects the surface of the mirror from
20	extended radiation damage.
21	
22	A compact X-ray detector may be included to monitor and
23	continuously optimise the position of the electron
24	focal spot. This may be a small solid state detector
25	or other X-ray detecting device.
26	
27	The system encompasses an X-ray focusing device 10
28	located close to the source to provide a magnified
29	image of the focal spot at controlled varying distances
30	from the source. Options for the X-ray focusing
31	systems are:
32	1 Micromirrors: use specular reflectivity from a
33	gold or similar coating of highly controlled
34	smoothness (around 10 Å rms), from a circularly
35	symmetric profile.
36	- Ellipsoidal profile: gives focused beam of X-

1	rays (currently 300 $\mu$ m diameter 600 mm from
2	focal spot). Measured insertion gain of >
3	150 (could be 250+). Reason for close
4	coupling is so that a large solid angle of
5	radiation may be collected, but also focusing
6	element forms a magnified image of the focal
7	spot at the sample (low beam divergence but
8	high insertion gain)
9	<ul> <li>Paraboloidal profile: gives a nearly parallel</li> </ul>
10	beam (expected gains around 200+)
11	
12	<pre>2 Kirkpatrik-Baez type:</pre>
13	<ul> <li>Bent plates arranged in combinations of</li> </ul>
14	elliptical or parabolic or combination
15	<ul> <li>Allows simple change of mirror profiles to</li> </ul>
16	suit different applications
17	
18	3 Other possibilities:
19	- Zone plates
20	<ul> <li>Bragg Fresnel optics</li> </ul>
21 .	- Multilayer optics
22	
23	The distance $x$ between the focusing mirror 10 and the
24	source on the target 4 is small, usually lerss than 20
25	mm, preferably about 11 mm, to ensure close coupling.
26	
27	<u>Example</u>
28	
29	A number of copper-target X-ray tubes with focusing
30	collimators were constructed to the same basic
31	specifications shown in the table below.
32	
33	Table of Specifications
34	
35	X-ray tube target Copper, cooled by water or
36	forced air

. 1	Source size	15 $\mu$ m x 150 $\mu$ m viewed at 6°
2		
3	Present tube current	0.2 mA at 30 kV
4		
5	X-ray focusing	Ellipsoidal mirror, gold
6		surface
7		
8	Source-to-mirror	11 mm
9	distance	
10		
11	Solid angle of	$8.0 \times 10^{-4} \text{ sterad}$
12	collection	
13		·
14	Beam convergence	10-3 rad
15	at sample	
16		
17	The cathode is at negati	ive high voltage and the
18	electron gun consists of	f a filament just inside the
19	aperture of a Wehnelt gr	rid which is biased negatively
20	with respect to the file	ament. The electrons are
21	accelerated towards the	anode which is at ground
22	potential and pass throu	igh a hole in the latter and
23	then through a long pipe	e (tube 2) towards the copper
24	target 4. An electron o	cross-over is formed between the
25	Wehnelt and anode apertu	res and this is imaged on the
26	target by the iron-cored	d axial solenoid 7 which
27	surrounds the vacuum pip	be. The best electron focus is
28	obtained when the beam p	passes very accurately along the
29	axis of the solenoid. T	Two sets of beam deflection
30	coils 14, which may be i	ron-cored, are employed in two
31	planes separated by 30 m	nm, mounted between the anode of
32	the electron gun 3 and t	the axial solenoid 7 to centre
33	the beam. Between the s	colenoid 7 and the target 4 is
34	an air-cored quadrupole	magnet which acts as a
35	stigmator 19 in that it	turns the circular cross-
36	section of the beam into	an elongated one. This

1 quadrupole 19 can be rotated about the tube axis so as 2 to adjust the orientation of the line focus. The beam 3 can be moved about on the target surface 4 by controlling the currents in the four coils of the 4 5 quadrupole 19. 7 For a tube power below 2 watts the foil target is 8 adequately cooled by radiation alone, but at higher 9 powers forced-air or water-cooling is necessary. 10 tube may be operated continuously at 6 watts but the maximum power compatible with low damage to the target 11 12 surface 4 is still to be established. 13 14 Computer simulations show that the loading limit of a 15 water-cooled copper target and a focus of 15  $\mu$ m x 300 16  $\mu$ m is about 20 watts. Experiments suggest that this 17 figure can be somewhat improved upon by increasing the 18 turbulence in the flow of the coolant. 19 approach is to sandwich a layer of a material with a 20 very high thermal conductivity between a very thin 21 copper target layer and a cooled copper block. 22 sandwiched layer may be a Type II diamond layer, and 23 may be sandwiched between a 5  $\mu$ m thick copper target 24 layer and a water-cooled copper block. Diamond has a 25 thermal conductivity which is up to four times that of 26 copper and our calculations show that its use should 27 allow the permissible power dissipation to be 28 approximately doubled. 29 30 The electron source of a micro-focus X-ray tube must 31 have a high brightness to produce gun currents of the 32 order of 1 mA. 33 34 An indirectly heated cathode a Few hundred micrometers 35 in diameter may be used. The beam cross-section 36

remains circular until the beam reaches the stigmator

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. 1 quadrupole while it can be drawn out into a line 2 between 10  $\mu$ m and 30  $\mu$ m in width and with a length-to-3 width ratio up to 20:1. Such an electron source 4 consumes a much lower filament power than the hair-pin 5 tungsten filaments customary for low-power 6 applications; since it operates at a lower temperature, 7 it can have a life of several thousand hours. 8 9 The tube is run in a saturated condition in which the 10 current is virtually independent of the filament 11 temperature but is determined by the bias voltage 12 between filament and Wehnelt electrode. This bias 13 voltage is the potential drop produced by the tube 14 current flowing through a high resistor; this form of 15 autobias produces a very stable tube current which is 16 readily controlled by varying the bias resistance. 17 18 The electron-optical performance of the tubes has been 19 investigated by fitting some of them with 20  $\mu$ m thick 20 transmission targets. This allowed pinhole photographs 21 of the focus to be made. A quick way of assessing the 22 focus was to view the magnified shadow cast by a 200-23 or 400-mesh grid. The electron beam could also be 24 scanned across a rectangular aperture immediately in 25 front to the target. The results are shown in Fig. 4, 26 which shows how the X-ray intensity varies as the 27 electron beam is scanned across the aperture in front 28 of the target. It can be seen that the intensity 29 reaches a peak of about 4000 cps over a range of 30 distance between 60 and 220 micrometres. 31 32 The insertion gain of ellipsoidal mirrors was measured. 33 This gain was defined as the ratio of CuKo X-ray flux 34 into the 0.3 mm diameter image of the X-ray source 35 formed at a distance of 600 mm from the source to the 36 flux into the same area without the mirror.

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4.5

1 these conditions the cross-fire at the sample position 2 is about 1 milliradian. For the best mirrors the 3 insertion gain was 110. 4 5 The X-ray intensity obtained as above was also compared 6 with that obtained at the focus of a standard double 7 Franks mirror arrangement used with an Elliot GX-21 8 rotating anode X-ray generator operated at 2kW. 9 is a conventional combination of X-ray tube and 10 collimator for protein crystallography). When the tube 11 according to the invention was operated at below 1 12 watt, the intensity was only 25 times less than that 13 from the rotating-anode operated at a power 2000 times 14 greater. Further improvements are possible, both in X-15 ray tube power and in mirror performance. It should be 16 noted that the insertion gain calculated simply on the 17 basis of solid angles of the cone of radiation 18 collected from the source and on the highest values of 19 X-ray reflectivity which have been measured is 20 approximately five times greater than that achieved so 21 far. 22 23 These and other modifications and improvements can be 24 incorporated without departing from the scope of the

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invention.

l	CLAIMS
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1. X-ray generator comprising an electron gun, an X-ray tube, electron focusing means and a target adapted to have an X-ray source formed thereon, the electron focusing means being arranged such that the X-ray source on the target may be varied in size and/or shape and/or position.

9

X-ray generator according to Claim 1, wherein the
 X-ray source on said target may be varied from a
 small diameter spot to a line of small width.

13

14 3. X-ray generator according to Claim 1 or 2, further
15 comprising an X-ray exit window comprising a tube
16 of material with low X-ray absorption and of a
17 small diameter to allow close coupling of X-ray
18 focusing devices.

19

20 4. X-ray generator according to Claim 3, wherein the 21 material of the exit window has a high mechanical 22 strength and is preferably beryllium.

23

24 5. X-ray generator according to Claim 3 or 4, wherein 25 the exit window connects the X-ray tube and the 26 target.

27

28 6. X-ray generator according to any preceding Claim,
29 wherein the electron focusing means comprises an
30 x-y deflection system for centring the electron
31 beam in the X-ray tube.

32

33 7. X-ray generator according to Claim 6, wherein the electron beam focusing means further comprises at least one electron lens, preferably an axially symmetric or round lens, and at least one

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quadrupole or multipole lens for focusing the electron beam to a line focus.

3

8. X-ray generator according to any preceding Claim,
wherein the target is a metal foil transmission
target, the metal being selected from the group
Cu, Ag, Mo, Rh, Al, Ti, Cr, Co, Fe, W, and Au.

8

9 9. X-ray generator according to any preceding Claim,
10 wherein the surface of the target impinged upon by
11 the electron beam is orientated such that the
12 plane of the target surface is perpendicular or at
13 an angle to the axis of the X-ray tube.

14

10. X-ray generator according to any preceding Claim,
wherein the target comprises a thin metal layer
deposited on a thicker substrate of a material
with high thermal conductivity, preferably
diamond.

20

21 11. X-ray generator according to any preceding Claim,
 22 wherein the generator further comprises a target
 23 cooling means.

24

12. X-ray generator according to any preceding Claim,
 further comprising an electron mask having an
 aperture adapted to align the focal spot of the electron beam.

29

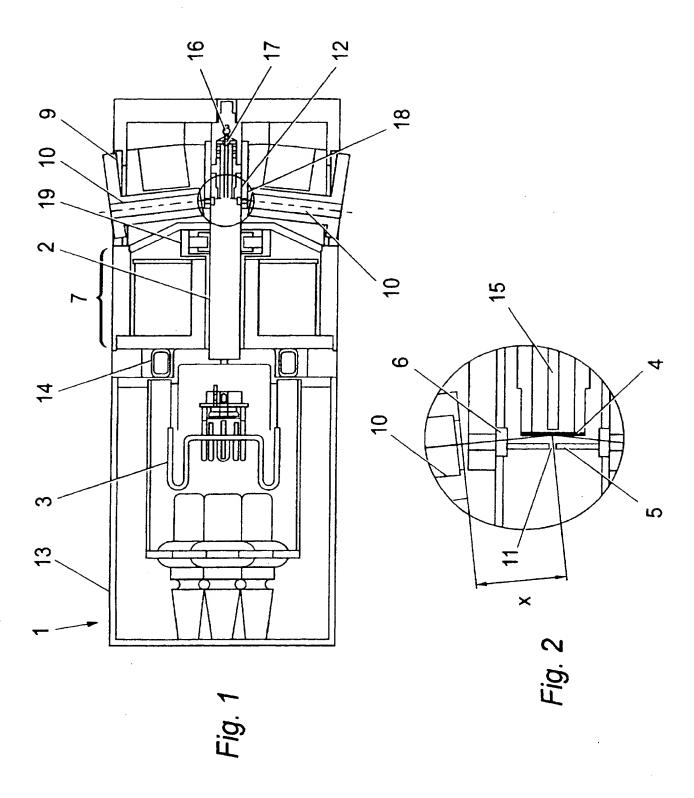
30 13. X-ray generator comprising an electron gun, an X31 ray tube, a target and an X-ray exit window
32 comprising a tube of material with low X-ray
33 absorption and of small diameter to allow close
34 coupling of X-ray focusing devices.

35

36 14. X-ray generator according to any preceding Claim,

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· 1		further comprising an X-ray focusing means coupled
2		closely to said target.
3		
4	15.	X-ray generator according to Claim 14, wherein the
5		X-ray focusing means comprises an X-ray mirror
6		whose longitudinal alignment axis is arranged at
7		an angle to the axis of the X-ray tube.
8		
9	16.	X-ray generator according to Claim 15, wherein the
10		angle is between 80° and 90°, preferably about
11		84°.
12		



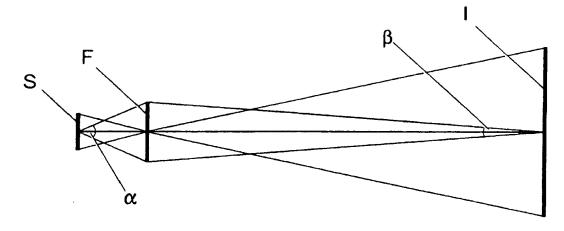


Fig. 3

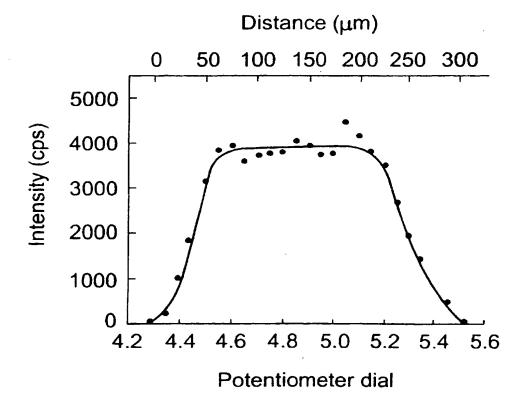


Fig. 4

### INTERNATIONAL SEARCH REPORT

In. attonal Application No

		PC	CT/GB 97/02580 ·
A. CLASS	SIFICATION OF SUBJECT MATTER H01J35/14		
According t	to International Palent Classification (IPC) or to both national classific	cation and IPC	
	SEARCHED		
Minimum di IPC 6	ocumentation searched (classification system followed by classifical $H01J$	ion symbols)	
Documenta	ation searched other than minimum documentation to the extent that	such documents are included i	in the fields searched
Electronic	data base consulted during the international search (name of data b	ase and where practical sear	ch terms used)
	and the second second second in the second s	ase and, where practical, you	
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C. DOCUM Category	ENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the re	levant passages	Relevant to claim No
X	US 3 732 426 A (SHIMIZU T) 8 May	1973	1-5,9,13
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Υ	EP 0 319 912 A (WANG CHIA GEE DR	) 14 June	8,10
	1989   see column 5, line 25 - line 38		
	see column 6. line 19 - line 33		
		-/	
		•	
X Funt	her documents are listed in the continuation of box C	Y Patent family member	ers are listed in annex
Special cal	legories of cited documents		after the international filing date
considi	ent defining the general state of the art which is not lered to be of particular relevance		n conflict with the application but principle or theory underlying the
filling di		cannot be considered no	levance, the claimed invention ovel or cannot be considered to
which i	inl which may throw doubts on priority claim(s) or is cited to establish the publication date of another in or other special reason (as specified).	"Y" document of particular re	o when the document is taken alone levance, the claimed invention i involve an inventive step when the
O" docume other n	ant referring to an oral disclosure, use, exhibition or nears	document is combined wi ments, such combination	with one or more other such docu- n being obvious to a person skilled
	ent published prior to the international filing date but an the priority date claimed	in the art. "&" document member of the	same patent family
Date of the a	actual completion of theinfernational search	Date of mailing of the inte	ernational search report
5	January 1998	13/01/1998	
Name and rr	nailing address of the ISA	Authorized officer	
	European Patent Office   P. B. 5818 Patentiaan 2   NL - 2280 HV Rijswijk   Tel (+31-70) 340-2040   Tx. 31 651 epo ni		
	Fax (+31-70) 340-3016	Colvin, G	

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tn, Pational Application No PCT/GB 97/02580

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